

Meeting of ICB Board

Date: Thursday 16th January 2025

Time: 09.30 – 12.00

Location: Virtual, via Microsoft Teams

Agenda Number:	6.4
Title:	Scheme of Reservation and Delegation & update on Constitution
Purpose: Decision	
Key Points for Discussion:	
<p>The ICB Board has identified the need for the Strategic Health Inequalities, Prevention and Population Health (SHIPPH) Committee to support its responsibilities to address health inequalities. The SHIPPH will bring focus to this area of ICB business. The Scheme of Reservation and Delegation (SoRD) has been updated to include the remit of SHIPPH which will be Chaired by Jeff Farrar, ICB Chair. This is a formal committee of the Board. The remit will be reflected in the Terms of Reference for this committee and will be agreed at a future meeting. Proposed changes to the SoRD are highlighted in yellow on page 19 of the SoRD.</p> <p>Following agreement by the ICB Board to changes to its Constitution in October, the document was shared with NHS England for approval. Satisfactory feedback was received with some minor feedback about syntax. Non-material corrections have been made. Whilst preparing the final Constitution for launch, it became apparent that the stated numbers of Partner members had not been changed to reflect the Group operating model involving NBT and UHBW. Changes to numbers have been made reflecting that Maria Kane, Joint Chief Executive, will now represent both secondary and tertiary care on the ICB Board.</p>	
Recommendations:	<p>The ICB Board is asked to:</p> <p>Endorse the revised Scheme of Delegation to authorize the SHIPPH committee as a formal committee of the ICB. Agree the minor changes to the revised ICB Constitution which will be notified to NHSE for approval .</p>
Previously Considered By and feedback:	<p>The ICB Board on 3 October 2024 approved the revised constitution to be sent to NHS England. The role of the SHIPPH committee formed part of the Board seminar in November.</p>
Management of Declared Interest:	<p>There are no declared interests to consider regarding this report.</p>

Risk and Assurance:	The SoRD is a formal document governing the business of the ICB and referenced in the Constitution. Integrated Care Boards are required to have a Constitution. NHS England had instructed ICB's to update their Constitutions at the earliest opportunity. BNSSG ICB has made the amendments though further amendments are required.
Financial / Resource Implications:	The paper does not have any financial resource implications.
Legal, Policy and Regulatory Requirements:	Integrated Care Boards were created by the Health and Care Act (2022) and are required to have a Constitution. The Act sets out the required contents and terms to be used in the Constitution. The SoRD is also a requirement.
How does this reduce Health Inequalities:	The BNSSG ICB Constitution sets out the responsibilities for commissioning health care for the local population including the four core purposes of Integrated Care Systems. One of which is "tackle inequalities in outcomes, experience and access". The establishment of the SHIPPH committee is intended to support the ICB discharge its responsibilities.
How does this impact on Equality & diversity	The establishment of the SHIPPH committee is intended to support the ICB discharge its responsibilities.
Patient and Public Involvement:	The changes to the Constitution have been made in line with the revised guidance on Integrated Care Board constitutions and governance (July 2024) and there has been no engagement with patients/members of the public beyond that undertaken by NHS England as part of the legislative process. There is public representation on the SHIPPH committee.
Communications and Engagement:	The BNSSG ICB Constitution will be discussed at the ICB Board meeting held in public. Once approved by NHS England the updated Constitution will be published on the ICB website.
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Bristol, North Somerset
and South Gloucestershire
Integrated Care Board

BNSSG ICB Scheme of Reservation and Delegation

**Draft additions made
to published version
from April 2023**

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1. Introduction

1.1 Background

NHS England has set out the following as the four core purposes of Integrated Care Systems:

- a) improve outcomes in population health and healthcare
- b) tackle inequalities in outcomes, experience and access
- c) enhance productivity and value for money
- d) help the NHS support broader social and economic development.

The Integrated Care Board will use its resources and powers to achieve demonstrable progress on these aims, collaborating to tackle complex challenges, including:

- improving the health of children and young people
- supporting people to stay well and independent
- acting sooner to help those with preventable conditions
- supporting those with long-term conditions or mental health issues
- caring for those with multiple needs as populations age
- getting the best from collective resources so people get care as quickly as possible.

ICBs are statutory bodies and as such their powers, functions and duties are conferred, in the main, by legislation. Additional responsibilities for other functions may be conferred through delegation to the ICB from other bodies (such as NHS England and NHS Improvement).

ICBs are able to delegate to a committee or sub-committee of the board, or to an individual member of the board or an employee. The legislation gives the ICB board flexibility to appoint to ICB committees and sub-committees members who are neither ICB employees nor board members. In addition, ICBS' have the power to agree with specified other statutory organisations (NHS trusts/foundation trusts, local authorities) that they will exercise their functions on behalf of the ICB or jointly with the ICB.

This Scheme of Reservation and Delegation (SoRD) sets out those decisions that are reserved to the ICB Board and those decisions that have been delegated to ICB Committees, individuals, joint committees and other statutory organisations.

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2. Decisions and functions reserved to NHSE	Reference
The power to obtain information from the ICB and intervene where NHS England is satisfied that the ICB is failing, or has failed, to discharge any of its functions or that there is a significant risk that it will fail to do so	S 14Z58 of NHS Act 2006 Constitution 1.4.8
Appointment of the ICB Chair	Constitution 3.3
Removal of the ICB Chair	Constitution 3.13.6
Terminate the appointment of the Chief Executive and direct the Chair as to the appointment of a replacement where NHSE is satisfied that the ICB is failing or has failed to discharge any of its functions or there is a significant risk that the ICB will fail to do so	Constitution 3.16.7
Approval of the ICB Constitution and any changes made to it	Constitution 1.5.1 1.5.3
Variation of the ICB Constitution other than on application by the ICB	Para 15 Schedule 1B NHS Act 2006 Constitution 1.6.1b
Remuneration of ICB Chair	Constitution 3.14.1
Delegated limit for virement – for the whole ICB unlimited value: this includes all allocation changes, consequent budget changes and any change required to meet Integrated Single Financial Environment (ISFE) reporting requirements	SFIs 6.4.2

3. Decisions and functions reserved to the ICB Board	Reference
Consideration and approval of applications to NHS England on any matter concerning changes to the ICB's Constitution, including the Standing Orders	s14Z25 (5) and s1B NHS Act (2006) Constitution 1.6.1a, 1.6.3
Require and receive the declaration of interests from members of the ICB Board	s14Z30 NHS Act (2006) Constitution s6.3
Receive reports from committees that the ICB is required by statute or other regulation to establish and take action upon those reports as necessary	Constitution 4.6.4
Receive for ratification any urgent decisions taken by the Chair and Chief Executive as set out in Standing Orders	SO s4.9.4 – 4.9.7
Approve the ICBs overarching scheme of reservation and delegation, which sets out those decisions of the ICB <u>reserved</u> to the ICB Board and those <u>delegated</u> to the <ul style="list-style-type: none"> • committees and any joint committees of the ICB, or • its employees 	Constitution 4.3, 4.4 4.6, 4.7
Approve Standing Financial Instructions (SFIs)	Constitution 5.2
Approve Functions and Decisions Map	Constitution 4.5
Appoint and dismiss committees of the ICB that are directly responsible to the Board	Constitution 4.6.1
Establish Terms of Reference and reporting arrangements for all of the committees of the Board	Constitution 4.6.3
Receive reports from committees of the ICB including those which the ICB is required by its Constitution, or by NHS England, or the Secretary of State or by any other legislation, regulations, directions or guidance to establish and to take appropriate action	Constitution 4.6
Confirm the recommendations of committees where committees do not have executive powers	Constitution 4.6

3. Decisions and functions reserved to the ICB Board	Reference
Delegate executive powers to be exercised by any of its members or employees	Constitution 4.3.1
Approval of the ICB Long Term Plan and annual operational plan, including financial plans	Constitution 7.3.8
Approval of the ICB's Annual Report and Accounts	Constitution 7.5
Approval of the arrangements for discharging the ICB's statutory financial duties.	Constitution 5.2
Approve arrangements, including supporting policies, to minimise clinical risk, maximise patient safety and to secure continuous improvement in quality and patient outcomes.	s14Z34 NHS Act (2006) Constitution 1.4.5, 1.4.7, 4.2.1, 4.2.2
Approval of the arrangements for discharging the ICB's statutory duties associated with its commissioning functions, including but not limited to promoting the involvement of each patient, patient choice, reducing inequalities, improvement in the quality of services, obtaining appropriate advice and public engagement and consultation.	Constitution 1.4.5, 1.4.7, 4.2.1, 4.2.2
Approval of the ICB's arrangements, including supporting policies, for the management of risk	Constitution 4.2.2
Approval of a comprehensive system of internal control, including budgetary control, that underpins the effective, efficient and economic operation of the ICB.	Constitution 4.2.2
Approval of the ICB's corporate budgets that meet the ICB's financial duties	Constitution 4.2.2
Approve arrangements with another ICB, an NHS trust, NHS foundation trust, NHS England, a local authority, combined authority or any other body prescribed in Regulations, for the ICB's functions to be exercised by or jointly with that other body or for the functions of that other body to be exercised by or jointly with the ICB.	Constitution 4.3.2,
Approve arrangements for the functions to be exercised by a joint committee and/or for the establishment of a pooled fund to fund those functions (section 65Z6).	Constitution 4.3.2, 4.3.3

3. Decisions and functions reserved to the ICB Board	Reference
The exercise of Delegated Functions to empower the ICB to commission a range of primary care services, primary dental services and prescribed dental services, primary ophthalmic services and pharmaceutical services and local pharmaceutical services, for the people of BNSSG as described in the Delegation Agreement and delegated by NHS England to the ICB	S65Z5 NHS Act 2006
Decisions in relation to the commissioning, management, planning (including carrying out needs assessments), and undertaking reviews, of Primary Medical Services, primary dental services and prescribed dental services, primary ophthalmic services and pharmaceutical services and local pharmaceutical services, and other ancillary activities that are necessary to exercise the delegated functions providing all conditions and circumstances set out the ICB Standing Financial Instructions of £1 million and over	Delegation Agreement 2015 (2A inclusive)
Establish effective, safe, efficient, and economic arrangements for the discharge of Delegated Functions	S65Z5 NHS Act 2006
Develop an operational scheme of delegation defining those individuals or groups of individuals, including committees, who may discharge aspects of the Delegated Functions (this may be included in this Scheme of Reservation and Delegation) and determining the arrangements for the exercise of the Delegation Functions	S65Z5 NHS Act 2006
Ensuring the ICB compliance with the NHS Provider Selection Regime including approval of the ICB's Procurement Policy	Constitution 7.4.3
Approving arrangements, including supporting policies, for handling complaints and ensuring publication of the process	Constitution 7.3.4
Approving arrangements including supporting policies, for handling Freedom of Information requests.	Constitution 7.3.5
Approving arrangements including supporting policies, for managing counter fraud	Government Functional Standard 013 Counter Fraud

3. Decisions and functions reserved to the ICB Board	Reference
Approve the arrangements for discharging the ICB's statutory duties as an employer, including Human Resource and employment policies	Constitution 8 SFI 10
Endorse the ICB internal audit charter and annual audit plan on the recommendation of the ICB Accountable Officer and audit and risk committee	SFI's 4.2
Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with the formal authorisation of tenders and competitive quotations over the total value of (contract life cycle) over £5m for Commissioning of Healthcare Services and Non-Healthcare procurement and over £1m for Non-Healthcare procurement (running costs)	SFIs 8.2
Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with formal authorisation and awarding of a contract or grant agreement may be decided within +/- 10 percent of the authorised tender value of (contract life cycle inc VAT) £5 million and over	SFIs 8.9
Approve the ICB's arrangements, including supporting policies for business continuity, and for emergency planning.	Civil Contingencies Act 2004
Delegated limit for virement – for the whole ICB unlimited value: this includes Annual Operating Plan and any business cases/ proposals agreed by the Board.	SFIs 6.4.2
All tenders that will, or are forecast to, exceed the budget of that tender or the designated budget holder shall be escalated to the next level up. Where the actual contract value is greater than +/- 10 percent of the authorised tender value authorisation limit (contract life cycle inc. VAT) is over £5million	SFIs 8.9
A member of the ICB Board will be required to be one of the two approved persons present for the opening of tenders estimated above £500K (contract life cycle)	SFIs 9.3.1.c
Approval of schemes with a capital value of (contract life cycle inc. VAT) great than £5m	SFIs 12.1.5

4. Decisions and functions reserved to the ICB Chair		Reference
Chair	Appointment of the Chief Executive	Constitution 3.4
Chair	Approval of appointment of partner members of the ICB Board	Constitution 3.5 - 3.7 inc
Chair	Appointment of Independent Non-Executive members of the ICB Board	Constitution 3.11
Chair	Approval of appointment of Chief Medical Officer	Constitution 3.8
Chair	Approval of appointment of Chief Nursing Officer	Constitution 3.9
Chair	Approval of appointment of Chief Finance Officer	Constitution 3.10

5. Decisions and functions delegated by the Board to the ICB Committees	Reference
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Decisions and functions delegated by the Board to the ICB Audit Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Establish an auditor panel as a sub group to ensure the contract arrangements, including the procurement and selection, with the External Auditors is appropriate	SFIs 3.3.2.y

Decisions and functions delegated by the Board to the ICB Audit Committee	Reference
Approve the appointment and removal of the ICBs Internal Auditors, the level of remuneration and terms of engagement	SFIs 3.3.2
Endorse and recommend the ICB internal audit charter and annual audit plan, to the ICB board	SFIs 4.2
Review the adequacy and effectiveness of the ICB's system of integrated governance, risk management and internal control across the whole of the ICB's activities	SFIs 3.3.2g
ensure there is an effective internal audit function including; costs of audit services, performance of service, review and approval of the annual internal audit plan, the findings of audit work including the Head of Internal Audit Opinion and management responses to these, adequate resourcing of the function.	SFIs 3.3.2a
Review the work and findings of the External Auditor and management responses	SFIs 3.3.2b
Review schedules of losses and compensations and make recommendations to the Board	SFIs 3.3.2i
Review the annual report and financial statements prior to submission to the Board	SFIs 3.3.2k
To be assured that the ICB has adequate arrangements in place for the counter fraud, bribery and corruption (including cyber security) that meet NHS Counter Fraud Authority's (NHSCFA) standards and shall review the outcomes of work in these areas.	Committee Terms of Reference
To be assured that the ICB has adequate arrangements in place for Freedom to Speak Up	Committee Terms of Reference
To be assured that the ICB has adequate arrangements in place for Information Governance	Committee Terms of Reference
To monitor the integrity of financial statements of the ICB and any formal announcements relating to its financial performance, ensure systems for financial reporting to the Board are subject to review	Committee Terms of Reference
To be assured that the ICB has adequate arrangements for the management of declared interests and conflicts of interest, including gifts and hospitality	Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Remuneration Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Determine all aspects of remuneration for the Chief Executive, Directors and other Very Senior Managers including but not limited to salary, (including any performance-related elements) bonuses, pensions and cars	17 to 19 of Schedule 1B NHS Act 2006 s3.13.1 Constitution Committee Terms of Reference
Determine arrangements for termination of employment and other contractual terms and non-contractual terms for the Chief Executive, Directors and other Very Senior Managers	17 to 19 of Schedule 1B NHS Act 2006 s3.13.1 Constitution Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Remuneration Committee	Reference
Determine all aspects of remuneration for the Independent Non-Executive members of the ICB Board	17 to 19 of Schedule 1B NHS Act 2006 s3.13.1 Constitution Committee Terms of Reference
Terms of appointment for ICB Board members	s3.13.1 Constitution Committee Terms of Reference
Determine the ICB pay policy for all staff	17 to 19 of Schedule 1B NHS Act 2006 Committee Terms of Reference
Oversee contractual arrangements for all staff	17 to 19 of Schedule 1B NHS Act 2006 Committee Terms of Reference
Determine arrangements for termination payments and any special payments for all staff	17 to 19 of Schedule 1B NHS Act 2006 Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Finance, Digital, and Estates Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Develop and recommend to the ICB Board annual, medium and long term plans	SFIs 3.4.3 Committee terms of reference
Develop and recommend to the ICB Board Standing Financial Policies	SFIs 3.4.3 Committee terms of reference
Develop and recommend to the ICB Board resource allocation approach	SFIs 3.4.4 Committee terms of reference
Oversight of procurement exercises in line with section 8.25 or where there is a significant reputational or service issue and make recommendations to ICB Board	SFIs 3.4.4 Committee terms of reference

Decisions and functions delegated by the Board to the ICB Finance, Digital, and Estates Committee	Reference
Develop and recommend to the ICB Board policies within the scope of this committee which must be agreed by the Board	Committee Terms of Reference
Develop and recommend policies within the scope of this committee which can be agreed under delegated authority by the CEO	Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Outcomes, Quality and Performance Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Develop and recommend to the ICB Board the key outcomes, quality and performance priorities to be included within the ICB strategy/ annual plan, including priorities to address variation/ inequalities in care	Committee terms of reference
Have oversight of and approve the Terms of Reference and work programmes for the groups reporting into the Outcomes, Quality and Performance Committee	Committee terms of reference

Decisions and functions delegated by the Board to the ICB Outcomes, Quality and Performance Committee	Reference
Develop and recommend to the ICB Board policies within the scope of this committee which must be agreed by the Board	Committee Terms of Reference
Develop and recommend policies within the scope of this committee which can be agreed under delegated authority by the CEO	Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB People Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Develop and recommend to the ICB Board policies within the scope of this committee which must be agreed by the Board	Committee Terms of Reference
Develop and recommend policies within the scope of this committee which can be agreed under delegated authority by the CEO	Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Primary Care Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to co-operate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Make recommendations to the ICB Board on the BNSSG Primary Care Strategy	Committee terms of reference
Develop and recommend to the ICB Board policies within the scope of this committee which must be agreed by the Board	Committee Terms of Reference
Develop and recommend policies within the scope of this committee which can be agreed under delegated authority by the CEO	Committee Terms of Reference

Decisions and functions delegated by the Board to the ICB Strategic Health Inequalities, Prevention and Population Health (SHIPPH) Committee	Reference
Deliver its purpose as set out in these terms of reference	Committee terms of reference
Investigate any activity within its terms of reference	Committee terms of reference
Seek any information it requires within its remit, from any employee or member of the ICB (who are directed to cooperate with any request made by the committee) within its remit as outlined in these terms of reference	Committee terms of reference
Commission any reports it deems necessary to help fulfil its obligations	Committee terms of reference
Obtain legal or other independent professional advice and secure the attendance of advisors with relevant expertise if it considers this is necessary to fulfil its functions. In doing so the committee must follow any procedures put in place by the ICB for obtaining legal or professional advice	Committee terms of reference
Create sub-groups in order to take forward specific programmes of work as considered necessary by the Committee members. The Committee shall determine the membership and terms of reference of any such sub-groups in accordance with the ICB's constitution, standing orders and SoRD. The committee may not delegate any of its accountabilities to such sub-groups	Committee terms of reference
Develop and recommend to the ICB Board policies within the scope of this committee which must be agreed by the Board	Committee Terms of Reference
Develop and recommend policies within the scope of this committee which can be agreed under delegated authority by the CEO	Committee Terms of Reference

6. Decisions and functions delegated to individual board members and employees		
Individual	Decisions and functions delegated to the individual	Reference

Chief Executive Officer	Convening a panel to advise on the appointment of ICB Board partner members	Constitution 3.5 - 3.7 inc
Chief Executive Officer	Endorse and recommend the ICB internal audit charter and annual audit plan to the audit and risk committee and the ICB Board	SFIs 4.2
Chief Executive Officer and Chief Finance Officer	Decisions in relation to the commissioning, management, planning (including carrying out needs assessments), and undertaking reviews, of Primary Medical Services, primary dental services and prescribed dental services, primary ophthalmic services and pharmaceutical services and local pharmaceutical services, and other ancillary activities that are necessary to exercise the delegated functions providing all conditions and circumstances set out the ICB Standing Financial Instructions over £500k and up to £1 million	Delegation Agreement 2015 (2A inclusive)
Chief Executive Officer and Chief Finance Officer	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with the formal authorisation of tenders and competitive quotations over the total value of (contract life cycle) over £1m and up to £5m for Commissioning of Healthcare Services and Non-Healthcare services (non-running costs), over £750k and up to £1m for Commissioning of packages of care and over £500k and up to £1m for Non-Healthcare services (running costs).	SFIs 8.2.
Chief Executive Officer and Chief Finance Officer	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with formal authorisation and awarding of a contract may be decided within +/- 10 percent of the authorised tender value of (contract life cycle inc. VAT) over £1million and up to £5 million	SFIs 8.9

Individual	Decisions and functions delegated to the individual	Reference
Chief Executive Officer and Chief Finance Officer	Signing of all contracts and grant agreements over £1 million (contract life cycle inc. VAT) Chief Executive and Chief Finance Officer at the direction of the ICB Board and recorded in the relevant minute	SFIs 8.10
Chief Executive Officer and Chief Finance Officer	Authority to approve policies outside of those reserved for approval by the Board	Committee Terms of Reference
Chief Executive Officer (Accountable Officer)	Preparation and audit of annual accounts;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Adherence to the directions from NHS England in relation to accounts preparation;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Ensuring that the allocated annual revenue and capital resource limits are not exceeded, jointly, with system partners;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Ensuring that there is an effective financial control framework in place to support accurate financial reporting, safeguard assets and minimise risk of financial loss;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Meeting statutory requirements relating to taxation;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Ensuring that there are suitable financial systems in place	SFIs 3.2

Individual	Decisions and functions delegated to the individual	Reference
Chief Executive Officer (Accountable Officer)	Meeting the financial targets set for the ICB by NHS England;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Ensuring the Governance statement and Annual Accounts & Reports are signed	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Ensuring that planned budgets are approved by the relevant Board; developing the funding strategy for the ICB to support the board in achieving ICB objectives, including consideration of place-based budgets;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Making use of benchmarking to make sure that funds are deployed as effectively as possible;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Executive members (partner members and non-executive members) and other officers are notified of and understand their responsibilities within the SFIs;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Specific responsibilities and delegation of authority to specific job titles are confirmed;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Providing financial leadership and ensuring financial performance of the ICB;	SFIs 3.2
Chief Executive Officer (Accountable Officer)	Identification of key financial risks and issues relating to robust financial performance and leadership and working with relevant providers and partners to enable solutions;	SFIs 3.2

Individual	Decisions and functions delegated to the individual	Reference
All Chief Officers	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with the formal authorisation of tenders and competitive quotations over the total value of (contract life cycle) up to and including £1m for Commissioning of Healthcare Services and Non-Healthcare services (non-running costs) and up to £500k for Non-Healthcare procurement (running costs).	SFIs 8.2
Chief Nursing Officer	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with the formal authorisation of tenders and competitive quotations over the total value of (contract life cycle) for the Commissioning of packages of care up to £750k	SFIs 8.2
All Chief Officers	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with formal authorisation and awarding of a contract may be decided within +/- 10 percent of the authorised tender value of (contract life cycle inc. VAT) up to and including £1million	SFIs 8.9
All Chief Officers	Signing of all contracts and grant agreements up to and including £1million (contract life cycle inc. VAT) by two Directors	SFIs 8.10
Chief Delivery Officer	Decisions in relation to the commissioning, management, planning (including carrying out needs assessments), and undertaking reviews, of Primary Medical Services, primary dental services and prescribed dental services, primary ophthalmic services and pharmaceutical services and local pharmaceutical services, and other ancillary activities that are necessary to exercise the delegated functions providing all conditions and circumstances set out the ICB Standing Financial Instructions up to £500k	Delegation Agreement 2015 (2A inclusive)

Individual	Decisions and functions delegated to the individual	Reference
All Budget Holders	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with the formal authorisation of tenders and competitive quotations over the total value of (contract life cycle inc. VAT) up to and including £100k for Commissioning of healthcare services and Commissioning of Non-Healthcare services (non-running costs), £130k for Commissioning of care packages and £50k for Non-Healthcare procurement (running costs).	SFIs 8.2
All Budget Holders	Providing all the conditions and circumstances set out in ICB Standing Financial Instructions have been fully complied with formal authorisation and awarding of a contract may be decided within +/- 10 percent of the authorised tender value of (contract life cycle inc VAT) up to and including £100K	SFIs 8.9
All Budget Holders	Signing of all contracts and grant agreements up to and including £100k (contract life including VAT) by two Budget Holders	SFIs 8.10
Chief Executive Officer and Chief Finance Officer	Delegated limit for virement – for the whole ICB to a value over £500K and below £1 million: includes any committee that approves expenditure where the Chief Executive Officer or Chief Finance Officer or their appointed nominee is present	SFIs 6.4.2
Chief Finance Officer	Delegated limit for virement – for the whole ICB to a value below £500K includes any committee that approves expenditure where the Chief Finance Officer or their appointed nominee is present	SFIs 6.4.2
All Chief Officers	Delegated limit for virement – for their directorate to a value of up to £250K	SFIs 6.4.2
All Assistant Chief Officers	Delegated limit for virement – for their directorate up to a value of £25K	SFIs 6.4.2
All Budget Holders	Delegated limit for virement – for their service up to a value of £10K	SFIs 6.4.2

Individual	Decisions and functions delegated to the individual	Reference
All appropriately nominated managers	Responsibility for: <ul style="list-style-type: none"> a. submitting time records, and other notifications in accordance with agreed timetables; b. completing time records and other notifications in accordance with the Chief Finance Officer's instructions and in the form prescribed by the Chief Finance Officer including approval of expenses; c. submitting termination forms in the prescribed format immediately upon knowing the effective date of an employees or officer's resignation, termination or retirement. Where an employee fails to report for duty or to fulfil obligations in circumstances that suggest they have left without notice, the Chief Finance Officer must be informed immediately 	SFIs 10.4.3
All appropriately nominated officers	Delegated authority to enter into contracts of employment, regarding staff, agency staff or temporary staff service contracts. Where a role is as a ICB Board Member or senior official, these must be in line with the 2012 HMT Review of Tax Arrangements for Public Sector Appointees, the HMT guidance "Managing Public Money" instructions from the Department of Health for the reimbursement of ICB Board members and senior officials, and the ICB Constitution	SFIs 8.8
All appropriately nominated officers	The Board shall delegate responsibility to an officer for: <ul style="list-style-type: none"> a. ensuring that all employees are issued with a Contract of Employment in a form approved by the Board and which complies with employment legislation; and b. dealing with variations to, or termination of, contracts of employment 	SFIs 10.5.1
Chief Finance Officer	Responsibility for the prompt payment of accounts and claims. Payment of contract invoices shall be in accordance with contract terms, or otherwise, in accordance with national guidance. The Operational Scheme of Reservation and Delegation sets out levels of delegated authority for payment authorisation in the following instances: <ul style="list-style-type: none"> a. Budget already approved by the ICB Board e.g. payments to NHS bodies arising from agreement of NHS Contracts. b. Payments to NHS bodies where there is no contract in place 	SFIs 11.2.3

Individual	Decisions and functions delegated to the individual	Reference
Chief Executive Officer and Chief Finance Officer	Approval of capital schemes to the total value of (contract life cycle inc. VAT) between £500K and £5m (both Chief Executive Officer and Chief Finance Officer required)	SFIs 13.1.5
Chief Finance Officer	Approval of capital schemes to the total value of (contract life cycle inc. VAT) up to £500K	SFIs 13.1.5
ICB Chief of Staff	Approval of defence document or offers of settlement in line with legal advice and NHS R advice for claims assessed as under the value of £50K	SFIs 19.2.2
Chief Executive Officer or Chief Finance Officer	Approval of defence document or offers of settlement in line with legal advice and NHS R advice for claims assessed as over the value of £500K up to £1 million	SFIs 19.2.2
Chief Executive Officer and Chief Finance Officer and with advice from Chair of Audit & Risk	Approval of defence document or offers of settlement in line with legal advice and NHS R advice for claims assessed at over the value of £1 million	SFIs 19.2.2

7. Decisions and functions delegated to be exercised jointly		
Joint Committee	decisions and functions delegated to the joint committee	Reference
	<i>*Future iterations will reference the ICP board as being a jointly established committee of the ICB and local authorities once terms of reference finalised across partners. This reflects the Health and Care Act provisions*</i>	

8. Decisions and functions delegated by the Board to other statutory bodies		
Statutory Body	Decisions and functions delegated to other statutory bodies	Reference
	None	

9. Decisions and functions delegated to the board by organisations other than NHS England		
Delegating Body	Decisions and functions delegated by other organisations	Reference
	None	



**Bristol, North Somerset
and South Gloucestershire**
Integrated Care Board

NHS Bristol, North Somerset and South Gloucestershire Integrated Care Board

CONSTITUTION (v2)

Notes to be removed before publication:

ICB Board agreed version of this constitution on 3.10.24. The document (with tracked changes) was reviewed and approved by NHSE. Original tracked changes have been removed. This document contains further tracked changes in response to the feedback from NHSE as part of their approval of the ICB's revised constitution and the reduction in partner members as shown in the screenshot below.

2.2 Board Membership

- 2.2.1 The ICB has ~~78~~ Partner Members.
- a) ~~34~~ Partner members NHS and Foundation Trusts
 - b) 1 Partner member Primary medical services
 - c) 3 Partner members Local Authorities

Version	Date approved by the ICB	Effective date
V1.0	N/A	July 1st 2022
V2.0	3rd October 2024	

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1. Introduction

1.1 Background

1.1.1 NHS England has set out the following as the four core purposes of Integrated Care Systems:

- a) improve outcomes in population health and healthcare
- b) tackle inequalities in outcomes, experience and access
- c) enhance productivity and value for money
- d) help the NHS support broader social and economic development.

The Integrated Care Board will use its resources and powers to achieve demonstrable progress on these aims, collaborating to tackle complex challenges, including:

- improving the health of children and young people
- supporting people to stay well and independent
- acting sooner to help those with preventable conditions
- supporting those with long-term conditions or mental health issues
- caring for those with multiple needs as populations age
- getting the best from collective resources so people get care as quickly as possible.

1.1.2 NHS Bristol, North Somerset and South Gloucestershire Integrated Care Board serves a population of approximately one million people living within distinct and different communities. The Integrated Care Board brings together the wider partnership of health and care organisations that have come together to plan and deliver joined up services and improve the health and wellbeing of people who live within Bristol, North Somerset and South Gloucestershire.

1.2 Name

1.2.1 The name of this Integrated Care Board is NHS Bristol, North Somerset and South Gloucestershire Integrated Care Board (“the ICB”).

1.3 Area Covered by the Integrated Care Board

1.3.1 The area covered by the ICB is coterminous with the local government areas of the City of Bristol, District of North Somerset and District of South Gloucestershire. The ICB is responsible for both the registered and the non-registered population within this geographical area.

1.4 Statutory Framework

1.4.1 The ICB is established by order made by NHS England under powers in the 2006 Act.

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- 1.4.2 The ICB is a statutory body with the general function of arranging for the provision of services for the purposes of the health service in England and is an NHS body for the purposes of the 2006 Act.
- 1.4.3 The main powers and duties of the ICB to commission certain health services are set out in sections 3 and 3A of the 2006 Act. These provisions are supplemented by other statutory powers and duties that apply to ICBs, as well as by regulations and directions (including, but not limited to, those made under the 2006 Act).
- 1.4.4 In accordance with section 14Z25(5) of, and paragraph 1 of Schedule 1B to, the 2006 Act the ICB must have a constitution, which must comply with the requirements set out in that Schedule. The ICB is required to publish its Constitution (section 14Z29). This Constitution is published at www.bnssg.icb.nhs.net.
- 1.4.5 The ICB must act in a way that is consistent with its statutory functions, both powers and duties. Many of these statutory functions are set out in the 2006 Act but there are also other specific pieces of legislation that apply to ICBs. Examples include, but are not limited to, the Equality Act 2010 and the Children Acts. Some of the statutory functions that apply to ICBs take the form of general statutory duties, which the ICB must comply with when exercising its functions. These duties include but are not limited to:
- a) Having regard to and acting in a way that promotes the NHS Constitution (section 2 of the Health Act 2009 and section 14Z32 of the 2006 Act);
 - b) Exercising its functions effectively, efficiently and economically (section 14Z33 of the 2006 Act);
 - c) Duties in relation children including safeguarding, promoting welfare etc (including the Children Acts 1989 and 2004, and the Children and Families Act 2014)
 - d) Adult safeguarding and carers (the Care Act 2014)
 - e) Equality, including the public-sector equality duty (under the Equality Act 2010) and the duty as to health inequalities (section 14Z35); and
 - f) Information law, (for instance, data protection laws, such as the UK General Data Protection Regulation 2016/679 and Data Protection Act 2018, and the Freedom of Information Act 2000).
 - g) Provisions of the Civil Contingencies Act 2004
- 1.4.6 The ICB is subject to an annual assessment of its performance by NHS England which is also required to publish a report containing a summary of the results of its assessment.

- 1.4.7 The performance assessment will assess how well the ICB has discharged its functions during that year and will, in particular, include an assessment of how well it has discharged its duties under—
- a) section 14Z34 (improvement in quality of services),
 - b) section 14Z35 (reducing inequalities),
 - c) section 14Z38 (obtaining appropriate advice),
 - d) section 14Z40 (duty in respect of research)
 - e) section 14Z43 (duty to have regard to effect of decisions)
 - f) section 14Z54 (public involvement and consultation),
 - g) sections 223GB to 223N (financial duties), and
 - h) section 116B(1) of the Local Government and Public Involvement in Health Act 2007 (duty to have regard to assessments and strategies).
- 1.4.8 NHS England has powers to obtain information from the ICB (section 14Z60 of the 2006 Act) and to intervene where it is satisfied that the ICB is failing, or has failed, to discharge any of its functions or that there is a significant risk that it will fail to do so (section 14Z61).

1.5 Status of this Constitution

- 1.5.1 The ICB was established on 1st July 2022 by The Integrated Care Boards (Establishment) Order 2022, which made provision for its Constitution by reference to this document.
- 1.5.2 Changes to this Constitution will not be implemented until, and are only effective from, the date of approval by NHS England.

1.6 Variation of this Constitution

- 1.6.1 In accordance with paragraph 15 of Schedule 1B to the 2006 Act this Constitution may be varied in accordance with the procedure set out in this paragraph. The Constitution can only be varied in two circumstances:
- a) where the ICB applies to NHS England in accordance with NHS England's published procedure and that application is approved; and
 - b) where NHS England varies the Constitution of its own initiative, (other than on application by the ICB).
- 1.6.2 The procedure for proposal and agreement of variations to the Constitution is as follows:
- a) The Chair or Chief Executive may periodically propose amendments to this Constitution which shall be considered for approval by the board

- b) The Audit and Risk Committee may propose amendments to this Constitution as part of the annual review of the Constitution (as set out in the Scheme of Reservation and Delegation and Audit and Risk Committee Terms of Reference) which shall be considered by for approval by the board.

1.6.3 Proposed amendments shall be considered:

- a) Provided that two-thirds of the Board Members are present at the meeting where the amendment is being discussed and that at least half of the Board members (to include at least one executive member, one partner member and one Independent Non-Executive Member) vote in favour of the amendment
- b) Provided that any amendment does not contravene a statutory provision, direction made by the Secretary of State or guidance issued by NHS England
- c) Proposed amendments to this Constitution will not be implemented until an application to NHS England for variation has been approved.

1.7 Related Documents

1.7.1 This Constitution is also supported by a number of documents which provide further details on how governance arrangements in the ICB will operate.

1.7.2 The following are appended to the Constitution and form part of it for the purpose of clause 1.6 and the ICB's legal duty to have a Constitution:

- a) **Standing orders**– which set out the arrangements and procedures to be used for meetings and the processes to appoint the ICB committees.

1.7.3 The following do not form part of the Constitution but are required to be published.

- a) **The Scheme of Reservation and Delegation (SoRD)** – sets out those decisions that are reserved to the board of the ICB and those decisions that have been delegated in accordance with the powers of the ICB and which must be agreed in accordance with and be consistent with the Constitution. The SoRD identifies where, or to whom, functions and decisions have been delegated to.

- b) **Functions and Decision map** – a high level structural chart that sets out which key decisions are delegated and taken by which part or parts of the system. The Functions and Decision map also

includes decision making responsibilities that are delegated to the ICB (for example, from NHS England).

- c) **Standing Financial Instructions** – which set out the arrangements for managing the ICB’s financial affairs.
- d) **The ICB Governance Handbook** – This brings together all the ICB’s governance documents so it is easy for interested people to navigate. It includes:
- The above documents a) – c)
 - Terms of reference for all committees and sub-committees of the board that exercise ICB functions.
 - Delegation arrangements for all instances where ICB functions are delegated, in accordance with section 65Z5 of the 2006 Act, to another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body; or to a joint committee of the ICB and one of those organisations in accordance with section 65Z6 of the 2006 Act.
 - Terms of reference of any joint committee of the ICB and another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body; or to a joint committee of the ICB and one or those organisations in accordance with section 65Z6 of the 2006 Act.
 - The up-to-date list of eligible providers of primary medical services under clause 3.7.2
 - Key policies including those listed below
 - Role descriptions for members of the Board as set out in 3 below
- e) **Key policy documents** which should also be included in the Governance Handbook or linked to it – including:
- Standards of Business Conduct Policy
 - Conflicts of interest policy and procedures
 - Policy for public involvement and engagement
 - Information Governance Policies
 - Risk Management Framework

2 Composition of the Board of the ICB

2.1 Background

- 2.1.1 This part of the Constitution describes the membership of the Integrated Care Board. Further information about the criteria for the roles and how they are appointed is in section three.

- 2.1.2 Further information about the individuals who fulfil these roles can be found on the ICB website: www.bnssg.icb.nhs.net.
- 2.1.3 In accordance with paragraph 3 of Schedule 1B to the 2006 Act, the membership of the ICB (referred to in this Constitution as “the board” and members of the ICB are referred to as “board Members”) consists of:
- a) a Chair
 - b) a Chief Executive
 - c) at least three Ordinary members.
- 2.1.4 The membership of the ICB (the board) shall meet as a unitary board and shall be collectively accountable for the performance of the ICB’s functions.
- 2.1.5 NHS England Policy, requires the ICB to appoint the following additional Ordinary Members:
- a) Three executive members, namely:
 - Chief Finance Officer
 - Chief Medical Officer
 - Chief Nursing Officer
 - b) At least two¹⁸ non-executive members.
- 2.1.6 The Ordinary Members include at least three members who will bring knowledge and a perspective from their sectors. These members (known as Partner Members) are nominated by the following, and appointed in accordance with the procedures set out in Section 3 below:
- NHS trusts and foundation trusts who provide services within the ICB’s area and are of a prescribed description
 - the primary medical services (general practice) providers within the area of the ICB and are of a prescribed description
 - the local authorities which are responsible for providing Social Care and whose area coincides with or includes the whole or any part of the ICB’s area.

While the Partner Members will bring knowledge and experience from their sector and will contribute the perspective of their sector to the decisions of the board, they are not to act as delegates of those sectors.

2.2 Board Membership

- 2.2.1 The ICB has ~~78~~ Partner Members.
- a) ~~34~~ Partner members NHS and Foundation Trusts
 - b) 1 Partner member Primary medical services
 - c) 3 Partner members Local Authorities
- 2.2.2 The ICB has also appointed the following further Ordinary Members: to the board

- a) 5 Non-Executive Members
- b) Chief Finance Officer
- c) Chief Medical Officer
- d) Chief Nursing Officer
- e) 1 Other Member – Community Services

2.2.3 The board is therefore composed of the following members:

- a) Chair
- b) Chief Executive
- c) 34 Partner members NHS and Foundation Trusts
- d) 1 Partner member Primary medical services
- e) 3 Partner members Local Authorities
- f) 5 Non-Executive Members (one of which, but not the Audit Committee Chair, will be appointed Deputy Chair; and one of which, who may be the Deputy Chair or the Audit Committee Chair, will be appointed the Senior Non-executive member
- g) Chief Finance Officer
- h) Chief Medical Officer
- i) Chief Nursing Officer
- j) 1 Other Member – Community Services

2.2.4 The Chair will exercise their function to approve the appointment of the ordinary members with a view to ensuring that at least one of the Ordinary Members will have knowledge and experience in connection with services relating to the prevention, diagnosis and treatment of mental illness.

2.2.5 The board will keep under review the skills, knowledge, and experience that it considers necessary for members of the board to possess (when taken together) in order for the board to effectively carry out its functions and will take such steps as it considers necessary to address or mitigate any shortcoming.

2.3 Regular Participants and Observers at Board Meetings

2.3.1 The board may invite specified individuals to be Participants or Observers at its meetings in order to inform its decision-making and the discharge of its functions as it sees fit.

2.3.2 Participants will receive advanced copies of the notice, agenda and papers for board meetings. They may be invited to attend any or all of the board meetings, or part(s) of a meeting by the Chair. Any such person may be invited, at the discretion of the Chair to ask questions and address the meeting but may not vote. Invited participants will include but not be limited to One Care, Healthwatch and the Executive Directors of the ICB.

- 2.3.3 Observers will receive advanced copies of the notice, agenda and papers for board meetings. Any such person may not address the meeting and may not vote.
- 2.3.4 Participants and/ or observers may be asked to leave the meeting by the Chair in the event that the board passes a resolution to exclude the public as per the Standing Orders.

3 Appointments Process for the Board

3.1 Eligibility Criteria for Board Membership:

- 3.1.1 Each member of the ICB must:
- a) Comply with the criteria of the “fit and proper person test”
 - b) Be committed to upholding the Seven Principles of Public Life (known as the Nolan Principles)
 - c) Fulfil the requirements relating to relevant experience, knowledge, skills and attributes set out in a role specification.
 - d) All individuals appointed to roles on the Board are responsible for familiarising themselves with the eligibility and ineligibility requirements, confirming their eligibility prior to appointment and immediately notifying the Chair of the ICB of a change of circumstances that may render them no longer eligible

3.2 Disqualification Criteria for Board Membership

- 3.2.1 A Member of Parliament.
- 3.2.2 A person whose appointment as a board member (“the candidate”) is considered by the person making the appointment as one which could reasonably be regarded as undermining the independence of the health service because of the candidate’s involvement with the private healthcare sector or otherwise.
- 3.2.3 A person who, within the period of five years immediately preceding the date of the proposed appointment, has been convicted—
- a) in the United Kingdom of any offence, or
 - b) outside the United Kingdom of an offence which, if committed in any part of the United Kingdom, would constitute a criminal offence in that part, and, in either case, the final outcome of the proceedings was a sentence of imprisonment (whether suspended or not) for a period of not less than three months without the option of a fine.
- 3.2.4 A person who is subject to a bankruptcy restrictions order or an interim bankruptcy restrictions order under Schedule 4A to the Insolvency Act 1986,

Part 13 of the Bankruptcy (Scotland) Act 2016 or Schedule 2A to the Insolvency (Northern Ireland) Order 1989 (which relate to bankruptcy restrictions orders and undertakings).

- 3.2.5 A person who, has been dismissed within the period of five years immediately preceding the date of the proposed appointment, otherwise than because of redundancy, from paid employment by any Health Service Body.
- 3.2.6 A person whose term of appointment as the chair, a member, a director or a governor of a health service body, has been terminated on the grounds:
- a) that it was not in the interests of, or conducive to the good management of, the health service body or of the health service that the person should continue to hold that office
 - b) that the person failed, without reasonable cause, to attend any meeting of that health service body for three successive meetings,
 - c) that the person failed to declare a pecuniary interest or withdraw from consideration of any matter in respect of which that person had a pecuniary interest, or
 - d) of misbehaviour, misconduct or failure to carry out the person's duties;
- 3.2.7 A Health Care Professional, meaning an individual who is a member of a profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2022, or other professional person who has at any time been subject to an investigation or proceedings, by any body which regulates or licenses the profession concerned ("the regulatory body"), in connection with the person's fitness to practise or any alleged fraud, the final outcome of which was:
- a) the person's suspension from a register held by the regulatory body, where that suspension has not been terminated
 - b) the person's erasure from such a register, where the person has not been restored to the register
 - c) a decision by the regulatory body which had the effect of preventing the person from practising the profession in question, where that decision has not been superseded, or
 - d) a decision by the regulatory body which had the effect of imposing conditions on the person's practice of the profession in question, where those conditions have not been lifted.
- 3.2.8 A person who is subject to:
- a) a disqualification order or disqualification undertaking under the Company Directors Disqualification Act 1986 or the Company Directors Disqualification (Northern Ireland) Order 2002, or
 - b) an order made under section 429(2) of the Insolvency Act 1986 (disabilities on revocation of administration order against an individual).

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- 3.2.9 A person who has at any time been removed from the office of charity trustee or trustee for a charity by an order made by the Charity Commissioners for England and Wales, the Charity Commission, the Charity Commission for Northern Ireland or the High Court, on the grounds of misconduct or mismanagement in the administration of the charity for which the person was responsible, to which the person was privy, or which the person by their conduct contributed to or facilitated.
- 3.2.10 A person who has at any time been removed, or is suspended, from the management or control of any body under—
- a) section 7 of the Law Reform (Miscellaneous Provisions) (Scotland) Act 1990(f) (powers of the Court of Session to deal with the management of charities), or
 - b) section 34(5) or of the Charities and Trustee Investment (Scotland) Act 2005 (powers of the Court of Session to deal with the management of charities).

3.3 Chair

- 3.3.1 The ICB Chair is to be appointed by NHS England, with the approval of the Secretary of State.
- 3.3.2 In addition to criteria specified at 3.1, this member must fulfil the following additional eligibility criteria
- a) The Chair will be independent.
 - b) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- 3.3.3 Individuals will not be eligible if:
- a) They hold a role in another health and care organisation within the ICB area.
 - b) Any of the disqualification criteria set out in 3.2 apply
- 3.3.4 The term of office for the Chair for the first term will be two years and after this first term of office a further two terms may be served for a 6 years of three years each. The maximum period of office that the Chair may serve is eight years.

3.4 Deputy Chair and Senior Non-executive Member

- 3.4.1 The Deputy Chair is to be appointed from amongst the non-executive members by the Board subject to the approval of the Chair
- 3.4.2 No individual shall hold the position of Chair of the Audit Committee and Deputy Chair at the same time

3.4.3 The Senior Non-Executive Member is to be appointed amongst the non-executive members by the board subject to the approval of the Chair

3.5 Chief Executive

3.5.1 The Chief Executive will be appointed by the Chair of the ICB in accordance with any guidance issued by NHS England.

3.5.2 The appointment will be subject to approval of NHS England in accordance with any procedure published by NHS England.

3.5.3 The Chief Executive must fulfil the following additional eligibility criteria

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook

3.5.4 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply
- b) Subject to clause 3.4.3(a), they hold any other employment or executive role

3.6 Partner Members - NHS Trusts and Foundation Trusts

3.6.1 These Partner Members are jointly nominated by the NHS trusts and/or FTs which provide services for the purposes of the health service within the ICB's area and meet the Forward Plan Condition or (if the Forward Plan Condition is not met) the Level of Services Provided Condition.

- a) Avon and Wiltshire Mental Health Partnership Trust (AWP)
- b) North Bristol NHS Trust (NBT)
- c) South Western Ambulance Service NHS Foundation Trust (SWASFT)
- d) University Hospitals Bristol and Weston NHS Foundation Trust (UHBW)

3.6.2 These members must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be an Executive Director from an NHS Trust or Foundation Trust within the ICB's area
- b) One of the Partner Member roles will be filled by an individual who will have knowledge and experience in connection with services relating to the prevention, diagnosis and treatment of mental illness (2.2.4 above)

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- c) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- d) and any other criteria as may be set out in any NHS England guidance

3.6.3 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply
- b) and any exclusion criteria set out in NHS E guidance

3.6.4 These members will be appointed by a panel convened by the Chief Executive subject to the approval of the Chair

3.6.5 The appointment process will be as follows:

a) Joint Nomination:

- When a vacancy arises, each eligible organisation listed at 3.6.1 will be invited to make **1** nomination.
- Eligible organisations may nominate individuals from their own organisation or another organisation
- All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within **5** working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, the nomination process will be re-run until majority acceptance is reached on the nominations put forward.

b) Assessment, selection, and appointment subject to approval of the Chair under c)

- The full list of nominees will be considered by a panel convened by the Chief Executive
- The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.6.2 and 3.6.3
- In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment

c) Chair's approval

- The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

The term of office for these Partner Members will be three years and the total number of terms they may serve is two. There shall be flexibility for the Chair to confer an additional 24 months term of office to provide continuity in exceptional circumstances where required. A full nomination and appointment process shall be run at the end of each full term of office.

3.7 Partner Member(s)- Providers of Primary Medical Services.

- 3.7.1 This Partner Member is jointly nominated by providers of primary medical services for the purposes of the health services within the ICB's area, and that are primary medical services contract holders responsible for the provision of essential services, within core hours to a list of registered persons for whom the ICB has core responsibility.
- 3.7.2 The list of relevant providers of primary medical services for this purpose is published as part of the Governance Handbook. The list will be kept up to date but does not form part of this Constitution.
- 3.7.3 This member must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria
- a) Bring an understanding of primary care in the area, including primary dental, community and optometry providers as well as primary care networks and general practice
 - b) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
 - c) and any other criteria set out by NHS England's guidance.
- 3.7.4 Individuals will not be eligible if:
- a) Any of the disqualification criteria set out in 3.2 apply
 - b) and any criteria set out in NHS E guidance
- 3.7.5 This member will be appointed by a panel convened by the Chief Executive subject to the approval of the Chair.
- 3.7.6 The appointment process will be as follows:
- a) Joint Nomination:
 - When a vacancy arises, each eligible organisation described at 3.7.1 and listed in the Governance Handbook will be invited to make **1** nomination.
 - The nomination of an individual must be seconded by **10** other eligible organisations.
 - Eligible organisations may nominate individuals from their own organisation or another organisation
 - All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within **5** working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, the nomination process will be re-run until majority acceptance is reached on the nominations put forward.
 - b) Assessment, selection, and appointment subject to approval of the Chair under c)

- The full list of nominees will be considered by a panel convened by the Chief Executive
 - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.7.3 and 3.7.4
 - In the event that there is more than one suitable nominee, the panel will select the most suitable for the appointment.
- c) Chair's approval
- The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.7.7 The term of office for this Partner Member will be three years and the total number of terms they may service is two terms. There shall be flexibility for the Chair to confer an additional 24 months term of office to provide continuity in exceptional circumstances where required. A full nomination and appointment process shall be run at the end of each full term of office.

3.8 Partner Members - local authorities

3.8.1 These Partner Members are jointly nominated by the local authorities whose areas that coincide with, or include the whole or any part of, the ICB's area. Those local authorities are:

- a) Bristol City Council (BCC)
- b) North Somerset Council (NSC)
- c) South Gloucestershire Council (SGC).

3.8.2 These members will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be the Chief Executive or hold a relevant Executive level role of one of the bodies listed at 3.8.1
- b) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- c) and any other criteria set out by NHS England's guidance.

3.8.3 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply
- b) and any criteria set out in NHS E guidance.

3.8.4 This member will be appointed by a panel convened by the Chief Executive subject to the approval of the Chair.

3.8.5 The appointment process will be as follows:

- a) Joint Nomination:

- When a vacancy arises, each eligible organisation described at 3.8.1 and listed in the Governance Handbook will be invited to make 1 nomination.
 - Eligible organisations may nominate individuals from their own organisation or another organisation
 - All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within **5** working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, the nomination process will be re-run until majority acceptance is reached on the nominations put forward.
- b) Assessment, selection, and appointment subject to approval of the Chair under c)
- The full list of nominees will be considered by a panel convened by the Chief Executive
 - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.8.3 and 3.8.4
 - In the event that there is more than one suitable nominee, the panel will select the most suitable for the appointment.
- c) Chair's approval
- The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.8.6 The term of office for these Partner Members will be three years and the total number of terms they may service is two terms. There shall be flexibility for the Chair to confer an additional 24 months term of office to provide continuity in exceptional circumstances where required. A full nomination and appointment process shall be run at the end of each full term of office.

3.9 Chief Medical Officer

3.9.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Be a registered Medical Practitioner
- c) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- d) and any other criteria set out by NHS England's guidance.

3.9.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply

- b) and any criteria set out in NHS E guidance.

3.9.3 This member will be appointed by the Chief Executive subject to the approval of the Chair.

3.10 Chief Nursing Officer

3.10.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Be a registered Nurse
- c) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- d) and any other criteria set out by NHS England's guidance.

3.10.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply
- b) and any criteria set out in NHS E guidance.

3.10.3 This member will be appointed by the Chief Executive subject to the approval of the Chair.

3.11 Chief Finance Officer

3.11.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Specify any other criteria set out by NHS England's guidance
- c) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook.

3.11.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply
- b) and any criteria set out in NHS E guidance.

3.11.3 This member will be appointed by the Chief Executive subject to the approval of the Chair.

3.12 Five Non-Executive Members

3.12.1 The ICB will appoint five Non-Executive Members

3.12.2 These members will be appointed by the Chair.

3.12.3 These members will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Not be employee of the ICB or a person seconded to the ICB
- b) Not hold a role in another health and care organisation in the ICS area
- c) One shall have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Audit Committee
- d) Another should have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Remuneration Committee
- e) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- f) and any other criteria set out by NHS England's guidance.

3.12.4 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply
- b) They hold a role in another health and care organisation within the ICB area
- c) and any criteria set out in NHS England guidance.

3.12.5 The term of office for a Non-Executive Member will be three years and the total number of terms an individual may serve is two terms. There shall be flexibility for the Chair to confer an additional 24 months term of office to provide continuity in exceptional circumstances where required. After a maximum period of eight years a non-executive member shall stand down to ensure on-going independence.

3.12.6 Initial appointments may be for a shorter period in order to avoid all Non-Executive Members retiring at once. Thereafter, new appointees will ordinarily retire on the date that the individual they replaced was due to retire in order to provide continuity.

3.12.7 Subject to satisfactory appraisal the Chair may approve the re-appointment of a Non-Executive Member up to the maximum number of terms permitted for their role.

3.13 Other Board Members

3.13.1 This member will provide the perspective of Adult and Children's Community Health Services.

3.13.2 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

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- a) Be an Executive Board Member from a provider of Adult and Children's Community Health Services within the ICB's area
- b) Be an Executive Director from a provider of Adult and Children's Community Health Services that provides the majority of specific services to the population within the ICB's area
- c) Fulfil the eligibility criteria set out in the role description included in the Governance Handbook
- d) And any other criteria set out by NHS England's guidance.

3.13.3 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply
- b) and any criteria set out in NHS E guidance.

3.13.4 This member will be appointed in line by a panel convened by the Chief Executive subject to the approval of the Chair.

3.13.5 The appointment process will be as follows:

- a) Nomination:
 - When a vacancy arises, eligible organisations described at 3.13.2 and listed in the Governance Handbook will be invited to make 1 nomination.
 - Eligible organisations may nominate individuals from their own organisation or another organisation
- b) Assessment, selection, and appointment subject to approval of the Chair under c)
 - The full list of nominees will be considered by a panel convened by the Chief Executive
 - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.13.2 and 3.13.3
 - In the event that there is more than one suitable nominee, the panel will select the most suitable for the appointment.
- c) Chair's approval
 - The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.13.6 The term of office for this Other Member will be three years and the total number of terms they may service is two terms. There shall be flexibility for the Chair to confer an additional 24 months term of office to provide continuity in exceptional circumstances where required. A full nomination and appointment process shall be run at the end of each full term of office.

3.14 Board Members: Removal from Office.

3.14.1 Arrangements for the removal from office of board members is subject to the term of appointment, and application of the relevant ICB policies and procedures.

3.14.2 With the exception of the Chair, board members shall be removed from office if any of the following occurs:

- a) If they no longer fulfil the requirements of their role or become ineligible for their role as set out in this Constitution, regulations or guidance
- b) If they fail to attend a minimum of 60% of the meetings to which they are invited unless agreed with the Chair in extenuating circumstances
- c) If they are deemed to not meet the expected standards of performance at their annual appraisal
- d) If they have behaved in a manner or exhibited conduct which has or is likely to be detrimental to the honour and interest of the ICB and is likely to bring the ICB into disrepute. This includes but is not limited to dishonesty; misrepresentation (either knowingly or fraudulently); defamation of any member of the ICBS (being slander or libel); abuse of position; non-declaration of a known conflict of interest; seeking to manipulate a decision of the ICB in a manner that would ultimately be in favour of that member whether financially or otherwise
- e) Are deemed to have failed to uphold the Nolan Principles of Public Life
- f) Are subject to disciplinary proceedings by a regulator or professional body.

3.14.3 Members may be suspended pending the outcome of an investigation into whether any of the matters in 3.14.2 apply.

3.14.4 Executive Directors (including the Chief Executive) will cease to be board members if their employment in their specified role ceases, regardless of the reason for termination of the employment.

3.14.5 The Chair of the ICB may be removed by NHS England, subject to the approval of the Secretary of State.

3.14.6 If NHS England is satisfied that the ICB is failing or has failed to discharge any of its functions or that there is a significant risk that the ICB will fail to do so, it may:

- a) terminate the appointment of the ICB's Chief Executive; and
- b) direct the chair of the ICB as to which individual to appoint as a replacement and on what terms.

3.15 Terms of Appointment of Board Members

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3.15.1 With the exception of the Chair, arrangements for remuneration and any allowances will be agreed by the Remuneration Committee in line with the ICB remuneration policy and any other relevant policies published and any guidance issued by NHS England or other relevant body. Remuneration for Chairs will be set by NHS England. Remuneration for Non-Executive Members will be set by the Remuneration Committee, which will be constituted such that conflicted individuals can reclude themselves appropriately. The Remuneration Committee Terms of Reference detail the membership that will allow for conflicted members to reclude themselves from decision making; these terms of reference are included in the Governance Handbook.

3.15.2 Other terms of appointment will be determined by the Remuneration Committee.

3.15.3 Terms of appointment of the Chair will be determined by NHS England.

4 Arrangements for the Exercise of our Functions

4.1 Good Governance

4.1.1 The ICB will, at all times, observe generally accepted principles of good governance. This includes the Nolan Principles of Public Life and any governance guidance issued by NHS England.

4.1.2 The ICB has agreed a Code of Conduct and Behaviours which sets out the expected behaviours that members of the board and its committees will uphold whilst undertaking ICB business. It also includes a set of principles that will guide decision making in the ICB. The ICB code of conduct and behaviours is published in the Governance Handbook.

4.2 General

4.2.1 The ICB will:

- a) comply with all relevant laws including but not limited to the 2006 Act and the duties prescribed within it and any relevant regulations;
- b) comply with directions issued by the Secretary of State for Health and Social Care
- c) comply with directions issued by NHS England;
- d) have regard to statutory guidance including that issued by NHS England; and
- e) take account, as appropriate, of other documents, advice and guidance issued by relevant authorities, including that issued by NHS England.
- f) respond to reports and recommendations made by local Healthwatch organisations within the ICB area.

4.2.2 The ICB will develop and implement the necessary systems and processes to comply with (a)-(f) above, documenting them as necessary in this Constitution, its Governance Handbook and other relevant policies and procedures as appropriate.

4.3 Authority to Act

4.3.1 The ICB is accountable for exercising its statutory functions and may grant authority to act on its behalf to:

- a) any of its members or employees
- b) a committee or sub-committee of the ICB

4.3.2 Under section 65Z5 of the 2006 Act, the ICB may arrange with another ICB, an NHS trust, NHS foundation trust, NHS England, a local authority, combined authority or any other body prescribed in Regulations, for the ICB's functions to be exercised by or jointly with that other body or for the functions of that other body to be exercised by or jointly with the ICB. Where the ICB and other body enters such arrangements, they may also arrange for the functions in question to be exercised by a joint committee of theirs and/or for the establishment of a pooled fund to fund those functions (section 65Z6). In addition, under section 75 of the 2006 Act, the ICB may enter partnership arrangements with a local authority under which the local authority exercises specified ICB functions or the ICB exercises specified local authority functions, or the ICB and local authority establish a pooled fund.

4.3.3 Where arrangements are made under section 65Z5 or section 75 of the 2006 Act the board must authorise the arrangement, which must be described as appropriate in the SoRD.

4.4 Scheme of Reservation and Delegation

4.4.1 The ICB has agreed a scheme of reservation and delegation (SoRD) which is published in full on the ICB website: www.bnssg.icb.nhs.net.

4.4.2 Only the board may agree the SoRD and amendments to the SoRD may only be approved by the board.

4.4.3 The SoRD sets out:

- a) those functions that are reserved to the Board;
- b) those functions that have been delegated to an individual or to committees and sub committees
- c) those functions delegated to another body or to be exercised jointly with another body, under section 65Z5 and 65Z6 of the 2006 Act.

4.4.4 The ICB remains accountable for all of its functions, including those that it has delegated. All those with delegated authority are accountable to the board for the exercise of their delegated functions.

4.5 Functions and Decision Map

4.5.1 The ICB has prepared a Functions and Decision Map which sets out at a high level its key functions and how it exercises them in accordance with the SoRD.

4.5.2 The Functions and Decision Map is published on the ICB website: www.bnssq.icb.nhs.net.

4.5.3 The map includes:

- a) Key functions reserved to the board of the ICB
- b) Commissioning functions delegated to committees and individuals
- c) Commissioning functions delegated under section 65Z5 and 65Z6 of the 2006 Act to be exercised by, or with, another ICB, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body
- d) functions delegated to the ICB (for example, from NHS England).

4.6 Committees and Sub-Committees

4.6.1 The ICB may appoint committees and arrange for its functions to be exercised by such committees. Each committee may appoint sub-committees and arrange for the functions exercisable by the committee to be exercised by those sub-committees.

4.6.2 All committees and sub-committees are listed in the SoRD.

4.6.3 Each committee and sub-committee established by the ICB operates under terms of reference agreed by the board. All terms of reference are published in the Governance Handbook.

4.6.4 The board remains accountable for all functions, including those that it has delegated to committees and subcommittees and therefore, appropriate reporting and assurance arrangements are in place and documented in terms of reference. All committees and sub committees that fulfil delegated functions of the ICB, will be required to:

- a) Provide Committee Chairs' reports to the board highlighting decisions and assurances
- b) Submit annual reports to the board
- c) Comply with internal audit findings
- d) Complete, at least annually, committee effectiveness reviews.

4.6.5 Any committee or sub-committee established in accordance with clause 4.6 may consist of, or include, persons who are not ICB Members or employees.

4.6.6 All members of committees and sub-committees that exercise the ICB commissioning functions will be approved by the Chair. The Chair will not approve an individual to such a committee or sub-committee if they consider that the appointment could reasonably be regarded as undermining the independence of the health service because of the candidate's involvement with the private healthcare sector or otherwise.

4.6.7 All members of committees and sub-committees are required to act in accordance with this Constitution, including the standing orders as well as the SFIs and any other relevant ICB policy.

4.6.8 The following committees will be maintained:

- a) **Audit and Risk Committee:** This committee is accountable to the board and provides an independent and objective view of the ICB's compliance with its statutory responsibilities. The committee is responsible for arranging appropriate internal and external audit.

The Audit Committee will be chaired by a Non-Executive Member (other than the Chair and Deputy Chair of the ICB) who has the qualifications, expertise or experience to enable them to express credible opinions on finance and audit matters. The Chair of the ICB may not be a member of the Audit and Risk Committee.

- b) **Remuneration Committee:** This committee is accountable to the board for matters relating to remuneration, fees and other allowances (including pension schemes) for employees and other individuals who provide services to the ICB, with the exceptions at 3.13.1.

The Remuneration Committee will be chaired by a Non-Executive Member other than the Chair or the Chair of Audit Committee.

4.6.9 The terms of reference for each of the above committees are published in the Governance Handbook.

4.6.10 The board has also established a number of other committees to assist it with the discharge of its functions. These committees are set out in the SoRD and further information about these committees, including terms of reference, are published in the Governance Handbook.

4.7 Delegations made under section 65Z5 of the 2006 Act

- 4.7.1 As per 4.3.2 The ICB may arrange for any functions exercisable by it to be exercised by or jointly with any one or more other relevant bodies (another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body).
- 4.7.2 All delegations made under these arrangements are set out in the ICB Scheme of Reservation and Delegation and included in the Functions and Decision Map.
- 4.7.3 Each delegation made under section 65Z5 of the Act will be set out in a delegation arrangement which sets out the terms of the delegation. This may, for joint arrangements, include establishing and maintaining a pooled fund. The power to approve delegation arrangements made under this provision will be reserved to the board.
- 4.7.4 The board remains accountable for all the ICB's functions, including those that it has delegated and therefore, appropriate reporting and assurance mechanisms are in place as part of agreeing terms of a delegation and these are detailed in the delegation arrangements, summaries of which will be published in the Governance Handbook.
- 4.7.5 In addition to any formal joint working mechanisms, the ICB may enter into strategic or other transformation discussions with its partner organisations on an informal basis.

5 Procedures for Making Decisions

5.1 Standing Orders

- 5.1.1 The ICB has agreed a set of standing orders which describe the processes that are employed to undertake its business. They include procedures for:
- conducting the business of the ICB
 - the procedures to be followed during meetings and
 - the process to delegate functions.
- 5.1.2 The Standing Orders apply to all committees and sub-committees of the ICB unless specified otherwise in terms of reference which have been agreed by the board.
- 5.1.3 A full copy of the Standing Orders is included in Appendix 2 and form part of this Constitution.

5.2 Standing Financial Instructions (SFIs)

- 5.2.1 The ICB has agreed a set of SFIs which include the delegated limits of financial authority set out in the SoRD.

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5.2.2 A copy of the SFIs published in the Governance Handbook

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6 Arrangements for Conflict of Interest Management and Standards of Business Conduct

6.1 Conflicts of Interest

- 6.1.1 As required by section 14Z30 of the 2006 Act, the ICB has made arrangements to manage any actual and potential conflicts of interest to ensure that decisions made by the ICB will be taken and seen to be taken without being unduly influenced by external or private interest and do not, (and do not risk appearing to) affect the integrity of the ICB's decision-making processes.
- 6.1.2 The ICB has agreed policies and procedures for the identification and management of conflicts of interest, which are in line with NHS England Guidance on Conflicts of Interest. The ICB policies and procedures are published on the website and included in the Governance Handbook.
- 6.1.3 All board, committee and sub-committee members, and employees of the ICB, will comply with the ICB policy on conflicts of interest in line with their terms of office and/ or employment. This will include but not be limited to declaring all interests on a register that will be maintained by the ICB.
- 6.1.4 All delegation arrangements made by the ICB under Section 65Z5 of the 2006 Act will include a requirement for transparent identification and management of interests and any potential conflicts in accordance with suitable policies and procedures comparable with those of the ICB.
- 6.1.5 Where an individual, including any individual directly involved with the business or decision-making of the ICB and not otherwise covered by one of the categories above, has an interest, or becomes aware of an interest which could lead to a conflict of interests in the event of the ICB considering an action or decision in relation to that interest, that must be considered as a potential conflict, and is subject to the provisions of this Constitution, the Conflicts of Interest Policy and the Standards of Business Conduct Policy.
- 6.1.6 The ICB has appointed the Audit Chair to be the Conflicts of Interest Guardian. In collaboration with the ICB's governance lead, their role is to:
- a) Act as a conduit for members of the public and members of the partnership who have any concerns with regards to conflicts of interest
 - b) Be a safe point of contact for employees or workers to raise any concerns in relation to conflicts of interest
 - c) Support the rigorous application of conflict of interest principles and policies

- d) Provide independent advice and judgment to staff and members where there is any doubt about how to apply conflicts of interest policies and principles in an individual situation
- e) Provide advice on minimising the risks of conflicts of interest.

6.2 Principles

- 6.2.1 In discharging its functions the ICB will abide by the following principles:
- a) Conduct business in line with available guidance and ICB policy.
 - b) Be proactive, not reactive: seeking to anticipate, identify and minimise the risk of conflicts of interest at the earliest possible opportunity
 - c) Be balanced, sensible and proportionate: Rules should be clear and robust but not overly prescriptive or restrictive. They should ensure that decision-making is transparent and fair whilst not being overly constraining, complex or cumbersome
 - d) Be transparent: Document clearly the approach and decisions taken at every stage in the commissioning cycle so that a clear audit trail is evident
 - e) Create an environment and culture where individuals feel supported and confident in declaring relevant information and raising any concerns.

6.3 Declaring and Registering Interests

- 6.3.1 The ICB maintains registers of the interests of:
- a) Members of the ICB
 - b) Members of the board's committees and sub-committees
 - c) Its employees
- 6.3.2 In accordance with section 14Z30(2) of the 2006 Act registers of interest are published on the ICB website [Register of Interests - NHS BNSSG ICB](#).
- 6.3.3 All relevant persons as per 6.1.3 and 6.1.5 must declare any conflict or potential conflict of interest relating to decisions to be made in the exercise of the ICB's commissioning functions.
- 6.3.4 Declarations should be made as soon as reasonably practicable after the person becomes aware of the conflict or potential conflict and in any event within 28 days. This could include interests an individual is pursuing. Interests will also be declared on appointment and during relevant discussion in meetings.
- 6.3.5 All declarations will be entered in the registers as per 6.3.1.
- 6.3.6 The ICB will ensure that, as a matter of course, declarations of interest are made and confirmed, or updated at least annually.

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- 6.3.7 Interests (including gifts and hospitality) of decision-making staff will remain on the public register for a minimum of six months. In addition, the ICB will retain a record of historic interests and offers/receipt of gifts and hospitality for a minimum of six years after the date on which it expired. The ICB's published register of interests states that historic interests are retained by the ICB for the specified timeframe and details of whom to contact to submit a request for this information.
- 6.3.8 Activities funded in whole or in part by third parties who may have an interest in ICB business such as sponsored events, posts and research will be managed in accordance with the ICB policy to ensure transparency and that any potential for conflicts of interest are well-managed.

6.4 Standards of Business Conduct

- 6.4.1 Board members, employees, committee and sub-committee members of the ICB will at all times comply with this Constitution and be aware of their responsibilities as outlined in it. They should:
- a) act in good faith and in the interests of the ICB
 - b) follow the Seven Principles of Public Life; set out by the Committee on Standards in Public Life (the Nolan Principles)
 - c) comply with the ICB Standards of Business Conduct Policy, and any requirements set out in the policy for managing conflicts of interest.
- 6.4.2 Individuals contracted to work on behalf of the ICB or otherwise providing services or facilities to the ICB will be made aware of their obligation to declare conflicts or potential conflicts of interest. This requirement will be written into their contract for services and is also outlined in the ICB's Standards of Business Conduct policy.

7 Arrangements for ensuring Accountability and Transparency

- 7.1.1 The ICB will demonstrate its accountability to local people, stakeholders and NHS England in a number of ways, including by upholding the requirement for transparency in accordance with paragraph 12(2) of Schedule 1B to the 2006 Act.

7.2 Principles

- 7.2.1 In discharging its functions the ICB will abide by the following principles:
- a) We act with honesty and integrity
 - b) We work in an open way and establish clear and transparent accountability for decisions, always acting in the service of the best

outcomes for the people of Bristol, North Somerset and South Gloucestershire

- c) We adhere to a collective model of accountability, where we hold each other mutually accountable for respective contributions to shared objectives.

7.3 Meetings and publications

7.3.1 Board meetings and committees composed entirely of board members or which include all board members will be held in public except where a resolution is agreed to exclude the public on the grounds that it is believed to not be in the public interest.

7.3.2 Papers and minutes of all meetings held in public will be published on the ICB website: www.bnssg.icb.nhs.net.

7.3.3 Annual accounts will be externally audited and published on the ICB website: www.bnssg.icb.nhs.net.

7.3.4 A clear complaints process will be published on the ICB website: www.bnssg.icb.nhs.net.

7.3.5 The ICB will comply with the Freedom of Information Act 2000 and with the Information Commissioner Office requirements regarding the publication of information relating to the ICB.

7.3.6 Information will be provided to NHS England as required.

7.3.7 The Constitution and governance handbook will be published as well as other key documents including but not limited to:

- Conflicts of interest policy and procedures
- Registers of interests
- Standards of Business Conduct
- Policy for public involvement and engagement
- Information Governance Policies
- Risk Management Framework
- Scheme of Reservation and Delegation
- Standing Financial Instructions
- Functions and Decisions Map
- Board Member role descriptions.

7.3.8 The ICB will publish, with our partner NHS trusts and NHS foundation trusts, a plan at the start of each financial year that sets out how the ICB proposes to exercise its functions during the next five years (The “Joint Forward Plan”). The plan will, in particular:

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a) describe the health services for which the ICB proposes to make arrangements in the exercise of its functions

- b) explain how the ICB proposes to discharge its duties under section 14Z34 to 14Z45 (general duties of Integrated Care Boards) and sections 223GB and 223N (financial duties).

c) set out any steps the ICB proposes to implement the three joint local health and wellbeing strategies

d) set out any steps that the ICB proposes to take to address the particular needs of children and young persons under the age of 25

e) set out any steps that the ICB proposes to take to address the particular needs of victims of abuse (including domestic abuse and sexual abuse, whether of children or adults).

7.4 Scrutiny and Decision Making

7.4.1 At least three Non-Executive Members will be appointed to the board including the Chair; and all of the board and committee members will comply with the Nolan Principles of Public Life and meet the criteria described in the Fit and Proper Person Test.

7.4.2 Healthcare services will be arranged in a transparent way, and decisions around who provides services will be made in the best interests of patients, taxpayers and the population, in line with the rules set out in the NHS Provider Selection Regime.

7.4.3 The ICB will comply with the requirements of the NHS Provider Selection Regime, including complying with existing procurement rules until the provider selection regime comes into effect:

- a) Ensuring appropriate governance structures are in place to manage challenges that may follow decisions regarding provider selection
- b) Publishing intentions for arranging services in advance
- c) Publishing contracts awarded and maintaining a record of decision making
- d) Ensuring local audit arrangements are capable of auditing decisions made under the NHS Provider Selection Regime.

In support of the provider selection regime the ICB will consider all circumstances when arranging and securing services. The ICB through its governance will ensure structures are in place to manage future

commissioning arrangements decisions and management of potential challenges.

7.4.4 The ICB will comply with local authority health overview and scrutiny requirements.

7.5 Annual Report

7.5.1 The ICB will publish an annual report in accordance with any guidance published by NHS England and which sets out how it has discharged its functions and fulfilled its duties in the previous financial year. An annual report must in particular:

- a) Explain how the ICB has discharged its duties under sections 14Z34 to 14Z45 and 14Z49 (general duties of integrated care boards)
- b) Review the extent to which the ICB has exercised its functions in accordance with the plans published under section 14Z52 (forward plan) and section 14Z56 (capital resource use plan)
- c) Review the extent to which the ICB has exercised its functions consistently with NHS England's views set out in the latest statement published under section 13SA(1) (views about how functions relating to inequalities information should be exercised), and
- d) Review any steps that the ICB has taken to implement any joint local health and wellbeing strategy to which it is required to have regard under section 116B(1) of the Local Government and Public Involvement in Health Act 2007.

8 Arrangements for Determining the Terms and Conditions of Employees.

8.1.1 The ICB may appoint employees, pay them remuneration and allowances as it determines and appoint staff on such terms and conditions as it determines.

8.1.2 The board has established a Remuneration Committee which is chaired by a Non-Executive Member other than the Chair or Audit Chair.

8.1.3 The membership of the Remuneration Committee is determined by the board. No employees may be a member of the Remuneration Committee but the board ensures that the Remuneration Committee has access to appropriate advice by requiring the attendance of:

- a) The ICB's most senior HR advisor or nominated deputy
- b) The Chief Executive or nominated deputy
- c) The Chief Finance Officer or nominated deputy.

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- 8.1.4 The board may appoint independent members or advisers to the Remuneration Committee who are not members of the board.
- 8.1.5 The main purpose of the Remuneration Committee is to exercise the functions of the ICB regarding remuneration included in paragraphs 18 to 20 of Schedule 1B to the 2006 Act. The terms of reference agreed by the Board are published in the Governance Handbook.
- 8.1.6 The duties of the Remuneration Committee include:
- a) Setting the ICB pay policy (or equivalent) and standard terms and conditions
 - b) Making arrangements to pay employees such remuneration and allowances as it may determine
 - c) Set remuneration and allowances for members of the board
 - d) Set any allowances for members of committees or sub-committees of the ICB who are not members of the board
 - e) Duties described in the Committee Terms of Reference (available in the Governance Handbook).
- 8.1.7 The ICB may make arrangements for a person to be seconded to serve as a member of the ICB's staff.

9 Arrangements for Public Involvement

- 9.1.1 In line with section 14Z45(2) of the 2006 Act the ICB has made arrangements to secure that individuals to whom services which are, or are to be, provided pursuant to arrangements made by the ICB in the exercise of its functions, and their carers and representatives, are involved (whether by being consulted or provided with information or in other ways) in:
- a) the planning of the commissioning arrangements by the Integrated Care Board
 - b) the development and consideration of proposals by the ICB for changes in the commissioning arrangements where the implementation of the proposals would have an impact on the manner in which the services are delivered to the individuals (at the point when the service is received by them), or the range of health services available to them, and
 - c) decisions of the ICB affecting the operation of the commissioning arrangements where the implementation of the decisions would (if made) have such an impact.
- 9.1.2 In line with section 14Z54 of the 2006 Act the ICB has made the following arrangements to consult its population on its system plan:
- a) The ICB's annual plan will be subject to public engagement and be published in draft form for public review and comment. Details of

this annual engagement process will be advertised on the ICB website.

9.1.3 The ICB has adopted the ten principles set out by NHS England for working with people and communities.

- a) Put the voices of people and communities at the centre of decision-making and governance, at every level of the ICS.
- b) Start engagement early when developing plans and feed back to people and communities how it has influenced activities and decisions.
- c) Understand our community's needs, experience and aspirations for health and care, using engagement to find out if change is having the desired effect.
- d) Build relationships with excluded groups – especially those affected by inequalities.
- e) Work with Healthwatch and the voluntary, community and social enterprise sector as key partners.
- f) Provide clear and accessible public information about vision, plans and progress to build understanding and trust.
- g) Use community development approaches that empower people and communities, making connections to social action.
- h) Use co-production, insight and engagement to achieve accountable health and care services.
- i) Co-produce and redesign services and tackle system priorities in partnership with people and communities.
- j) Learn from what works and build on the assets of all partners in the ICS – networks, relationships, activity in local places.

9.1.4 These principles will be used when developing and maintaining arrangements for engaging with people and communities.

- Being accessible and inclusive
- Embracing diversity
- Respecting and valuing the knowledge of all
- Having clarity, transparency and integrity
- Being timely and realistic
- Engaging in a two-way dialogue and feedback.

9.1.5 These arrangements, include that people and communities may:

- a) Get involved in consultations. The ICB will advertise all Constitution and engagement exercises on its website. The ICB will provide the information necessary for people and communities to take an informed view on the proposals under consideration and to explain how to give views and opinions

- b) Tell the ICB about views and experiences. The ICB will provide a 'contact facility' on its website to enable people to tell the ICB about their experiences and views about the provision of local health services
- c) Tell Healthwatch about experiences of the quality of local health services, Healthwatch is independent from health and social care services. Their role is to ensure that local people and communities' views are heard in order to improve the experience and outcomes for people who use services. They also help monitor the quality of health services. Healthwatch can be contacted at:
- <https://www.healthwatchbristol.co.uk/share-your-views>
<https://www.healthwatchsouthglos.co.uk/share-your-views>
<https://www.healthwatchnorthsomerset.co.uk/share-your-views>
- d) Come along to public workshops and listening events and participate in surveys. The ICB holds public workshop and listening events where local people can tell the ICB what they think about its plans for changing and developing local services. Details of meetings, events and surveys will be advertised on the ICB website.

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Appendix 1: Definitions of Terms Used in This Constitution

2006 Act	National Health Service Act 2006, as amended by the Health and Social Care Act 2012 and the Health and Care Act 2022
ICB board	Members of the ICB
Area	The geographical area that the ICB has responsibility for, as defined in clause 1.3 of this Constitution
Committee	A committee created and appointed by the ICB board.
Sub-Committee	A committee created and appointed by and reporting to a committee.
Forward Plan Condition	The 'Forward Plan Condition' as described in the Integrated Care Boards (Nomination of Ordinary Members) Regulations 2022 and any associated statutory guidance
Level of Services Provided Condition	The 'Level of Services Provided Condition' as described in the Integrated Care Boards (Nomination of Ordinary Members) Regulations 2022 and any associated statutory guidance
Integrated Care Partnership	The joint committee for the ICB's area established by the ICB and each responsible local authority whose area coincides with or falls wholly or partly within the ICB's area.
Place-Based Partnership	Place-based partnerships are collaborative arrangements responsible for arranging and delivering health and care services in a locality or community. They involve the Integrated Care Board, local government and providers of health and care services, including the voluntary, community and social enterprise sector, people and communities, as well as primary care provider leadership, represented by Primary Care Network clinical directors or other relevant primary care leaders.
Ordinary Member	The board of the ICB will have a Chair and a Chief Executive plus other members. All other members of the board are referred to as Ordinary Members.

Partner Members	<p>Some of the Ordinary Members will also be Partner Members. Partner Members bring knowledge and a perspective from their sectors and are appointed in accordance with the procedures set out in Section 3 having been nominated by the following:</p> <ul style="list-style-type: none"> • NHS trusts and foundation trusts who provide services within the ICB's area and are of a prescribed description • the primary medical services (general practice) providers within the area of the ICB and are of a prescribed description • the local authorities which are responsible for providing Social Care and whose area coincides with or includes the whole or any part of the ICB's area
Chief Finance Officer	An individual who is appointed to the ICB and who will fulfil the NHSE policy requirement to appoint a Director of Finance
Chief Medical Officer	An individual who is appointed to the ICB and who will fulfil the NHSE policy requirement to appoint a Medical Director
Chief Nursing Officer	An individual who is appointed to the ICB and who will fulfil the NHSE policy requirement to appoint a Director of Nursing
Health Service Body	Health service body as defined by section 9(4) of the NHS Act 2006 or (b) NHS Foundation Trusts.
Health Care Professional	An individual who is a member of a profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2002

Appendix 2: Standing Orders

1. Introduction

- 1.1. These Standing Orders have been drawn up to regulate the proceedings of NHS Bristol, North Somerset, and South Gloucestershire Integrated Care Board so that the ICB can fulfil its obligations as set out largely in the 2006 Act (as amended). They form part of the ICB's Constitution.

2. Amendment and review

- 2.1. The Standing Orders are effective from 1st July 2022
- 2.2. Standing Orders will be reviewed on an annual basis or sooner if required.
- 2.3. Amendments to these Standing Orders will be made as per clause 1.6 of the ICB Constitution.
- 2.4. All changes to these Standing Orders will require an application to NHS England for variation to the ICB Constitution and will not be implemented until the Constitution has been approved.

3. Interpretation, application and compliance

- 3.1. Except as otherwise provided, words and expressions used in these Standing Orders shall have the same meaning as those in the main body of the ICB Constitution and as per the definitions in Appendix 1.
- 3.2. These standing orders apply to all meetings of the board, including its committees and sub-committees unless otherwise stated. All references to board are inclusive of committees and sub-committees unless otherwise stated.
- 3.3. All members of the board, members of committees and sub-committees and all employees, should be aware of the Standing Orders and comply with them. Failure to comply may be regarded as a disciplinary matter.
- 3.4. In the case of conflicting interpretation of the Standing Orders, the Chair, supported with advice from the ICB's senior governance adviser will provide a settled view which shall be final.
- 3.5. All members of the board, its committees and sub-committees and all employees have a duty to disclose any non-compliance with these Standing Orders to the Chief Executive as soon as possible.
- 3.6. If, for any reason, these Standing Orders are not complied with, full details of the non-compliance and any justification for non-compliance and the

circumstances around the non-compliance, shall be reported to the next formal meeting of the board for action or ratification and the Audit Committee for review.

4. Meetings of the Integrated Care Board

4.1. Calling Board Meetings

- 4.1.1. Meetings of the board of the ICB shall be held at regular intervals at such times and places as the ICB may determine.
- 4.1.2. In normal circumstances, each member of the board will be given not less than one month's notice in writing of any meeting to be held. However:
 - a) The Chair may call a meeting at any time by giving not less than 14 calendar days' notice in writing.
 - b) One third of the members of the board may request the Chair to convene a meeting by notice in writing, specifying the matters which they wish to be considered at the meeting. If the Chair refuses, or fails, to call a meeting within seven calendar days of such a request being presented, the board members signing the requisition may call a meeting by giving not less than 14 calendar days' notice in writing to all members of the board specifying the matters to be considered at the meeting.
 - c) In emergency situations the Chair may call a meeting with two¹⁰⁰ days' notice by setting out the reason for the urgency and the decision to be taken.
- 4.1.3. A public notice of the time and place of meetings to be held in public and how to access the meeting shall be given by posting it at the offices of the ICB body and electronically at least three clear days before the meeting or, if the meeting is convened at shorter notice, then at the time it is convened.
- 4.1.4. The agenda and papers for meetings to be held in public will be published electronically in advance of the meeting excluding, if thought fit, any item likely to be addressed in part of a meeting is not likely to be open to the public.

4.2. Chair of a meeting

- 4.2.1. The Chair of the ICB shall preside over meetings of the board. The Chair of the ICB shall appoint a Deputy Chair who will be one of the Non-Executive Members.
- 4.2.2. If the Chair is absent or is disqualified from participating by a conflict of interest, the Non-Executive Deputy Chair if present shall preside. If

both the Chair and Deputy Chair are absent, or are disqualified from participating by a conflict of interest, , then a member of the Integrated Care Board shall be chosen by the members present, or by a majority of them, and shall preside.

- 4.2.3. The board shall appoint a Chair to all committees and sub-committees that it has established. The appointed committee or sub-committee Chair will preside over the relevant meeting. Terms of reference for committees and sub-committees will specify arrangements for occasions when the appointed Chair is absent.

4.3. Agenda, supporting papers and business to be transacted

- 4.3.1. The agenda for each meeting will be drawn up and agreed by the Chair of the meeting.
- 4.3.2. Except where the emergency provisions apply, supporting papers for all items must be submitted at least seven calendar days before the meeting takes place. The agenda and supporting papers will be circulated to all members of the board at least five calendar days before the meeting.
- 4.3.3. Agendas and papers for meetings open to the public, including details about meeting dates, times and venues, will be published on the ICB's website at [Events - NHS BNSSG ICB](#)

4.4. Petitions

- 4.4.1. Where a valid petition has been received by the ICB it shall be included as an item for the agenda of the next meeting of the board in accordance with the ICB policy as published in the Governance Handbook.

4.5. Nominated Deputies

- 4.5.1. With the permission of the person presiding over the meeting, the Executive Directors and the Partner Members of the board may nominate a deputy to attend a meeting of the board that they are unable to attend. The deputy may speak and may not vote on their behalf.
- 4.5.2. The decision of person presiding over the meeting regarding authorisation of nominated deputies is final.

4.6. Virtual attendance at meetings

- 4.6.1. The board of the ICB and its committees and sub-committees may meet virtually using telephone, video and other electronic means when necessary, unless the terms of reference prohibit this.

4.7. Quorum

- 4.7.1. The quorum for meetings of the board will be at least 50% of the members, including:
- a) Either the Chief Executive or the Chief Finance Officer
 - b) At least one Independent Non-Executive Member
 - c) At least one Partner Member.
- 4.7.2. For the sake of clarity:
- a) No person can act in more than one capacity when determining the quorum
 - b) An individual who has been disqualified from participating in a discussion on any matter and/or from voting on any motion by reason of a declaration of a conflict of interest, shall no longer count towards the quorum.
 - c) A nominated deputy permitted in accordance with standing order 4.5 will not count towards the quorum for meetings of the board
- 4.7.3. For all committees and sub-committees, the details of the quorum for these meetings and status of deputies are set out in the appropriate terms of reference.

4.8. Vacancies and defects in appointments

- 4.8.1. The validity of any act of the ICB is not affected by any vacancy among members or by any defect in the appointment of any member.
- 4.8.2. In the event of vacancy or defect in appointment the following temporary arrangement for quorum will apply:
- The meeting will be quorate when at least 5 members are present including:
 - Either the Chief Executive or the Chief Finance Officer
 - At least one independent member
 - At least one Partner Member.

4.9. Decision making

- 4.9.1. The ICB has agreed to use a collective model of decision-making that seeks to find consensus between system partners and make decisions based on unanimity as the norm, including working through difficult issues where appropriate.

- 4.9.2. Generally it is expected that decisions of the ICB will be reached by consensus. Should this not be possible then a vote will be required. The process for voting, which should be considered a last resort, is set out below:
- a) All members of the board who are present at the meeting will be eligible to cast one vote each.
 - b) In no circumstances may an absent member vote by proxy. Absence is defined as being absent at the time of the vote but this does not preclude anyone attending by teleconference or other virtual mechanism from participating in the meeting, including exercising their right to vote if eligible to do so.
 - c) For the sake of clarity, any additional Participants and Observers (as detailed within paragraph 5.6. of the Constitution) will not have voting rights.
 - d) A resolution will be passed if more votes are cast for the resolution than against it.
 - e) If an equal number of votes are cast for and against a resolution, then the Chair (or in their absence, the person presiding over the meeting) will have a second and casting vote.
 - f) Should a vote be taken, the outcome of the vote, and any dissenting views, must be recorded in the minutes of the meeting.

Disputes

- 4.9.3. Where helpful, the board may draw on third party support to assist them in resolving any disputes, such as peer review or support by NHS England.

Urgent decisions

- 4.9.4. In the case urgent decisions and extraordinary circumstances, every attempt will be made for the board to meet virtually. Where this is not possible the following will apply.
- 4.9.5. All board members will be notified of the decision to be taken
- 4.9.6. The powers which are reserved or delegated to the board, may for an urgent decision be exercised by the Chair and Chief Executive (or relevant lead director in the case of committees) subject to every effort having made to consult with at least 50% of the members, including:
- Either the Chief Executive or the Chief Finance Officer
 - At least one independent member, including the Chair of Audit or Deputy Chair of Audit
 - At least one Partner Member

- 4.9.7. The exercise of such powers shall be reported to the next formal meeting of the board for formal ratification and the Audit Committee for oversight.

Exception to standard decision-making arrangements

- 4.9.8. Proposed amendments to the ICB Constitution shall be considered:
- Provided that two-thirds of the board Members are present at the meeting where the amendment is being discussed and that at least half of the board members (to include at least one Executive Member, one Partner Member and one Non-Executive Member) vote in favour of the amendment
 - Provided that any amendment does not contravene a statutory provision, direction made by the Secretary of State or guidance issued by NHS England
 - Proposed amendments to this Constitution will not be implemented until an application to NHS England for variation has been approved.

4.10. Minutes

- 4.10.1. The names and roles of all members present shall be recorded in the minutes of the meetings.
- 4.10.2. The minutes of a meeting shall be drawn up and submitted for agreement at the next meeting where they shall be signed by the person presiding at it.
- 4.10.3. No discussion shall take place upon the minutes except upon their accuracy or where the person presiding over the meeting considers discussion appropriate.
- 4.10.4. Where providing a record of a meeting held in public, the minutes shall be made available to the public.

4.11. Admission of public and the press

- 4.11.1. In accordance with Public Bodies (Admission to Meetings) Act 1960 all meetings of the board and all meetings of committees which comprise of entirely board members or all board members at which public functions are exercised will be open to the public.
- 4.11.2. The board may resolve to exclude the public from a meeting or part of a meeting where it would be prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons stated in the resolution and arising from the nature of that business or of the proceedings or for any other reason

permitted by the Public Bodies (Admission to Meetings) Act 1960 as amended or succeeded from time to time.

- 4.11.3. The person presiding over the meeting shall give such directions as he/she thinks fit with regard to the arrangements for meetings and accommodation of the public and representatives of the press such as to ensure that the board's business shall be conducted without interruption and disruption.
- 4.11.4. As permitted by Section 1(8) Public Bodies (Admissions to Meetings) Act 1960 as amended from time to time) the public may be excluded from a meeting to suppress or prevent disorderly conduct or behaviour.
- 4.11.5. Matters to be dealt with by a meeting following the exclusion of representatives of the press, and other members of the public shall be confidential to the members of the board.

5. Suspension of Standing Orders

- 5.1. In exceptional circumstances, except where it would contravene any statutory provision or any direction made by the Secretary of State for Health and Social Care or NHS England, any part of these Standing Orders may be suspended by the Chair in discussion with at least 2 other members.
- 5.2. A decision to suspend Standing Orders together with the reasons for doing so shall be recorded in the minutes of the meeting.
- 5.3. A separate record of matters discussed during the suspension shall be kept. These records shall be made available to the Audit Committee for review of the reasonableness of the decision to suspend Standing Orders.

6. Use of seal and authorisation of documents.

- 6.1.1. The ICB may have a seal for executing documents where necessary. The following individuals or officers are authorised to authenticate its use by their signature; two signatures are required to do so, one of which is to be either the Chief Executive or the Chief Finance Officer:
 - a) the Chief Executive;
 - b) the Chair of the Integrated Care Board;
 - c) the Independent Deputy Chair of the Integrated Care Board; and
 - d) the Chief Finance Officer

6.2 Execution of a document by signature

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6.2.1. The following individuals are authorised to execute a document on behalf of the group by their signature; two signatures are required to do so, one of which is to be either the Chief Executive or the Chief Finance Officer:

- a) the Chief Executive;
- b) the Chair of the Integrated Care Board;
- c) the Independent Deputy Chair of the Integrated Care Board; and
- d) the Chief Finance Officer

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