

Reference: FOI.ICB-2425/218

Subject: UDA Repayments

I can confirm that the ICB does hold some of the information requested; please see responses below:

QUESTION	RESPONSE
<p>I would like to submit a Freedom of Information request to understand the level of early UDA repayments agreed between your ICB and the holders of dental services contracts, as well as information on contract rebasing.</p> <p>I have attached an excel spreadsheet to aid in collecting the data that I have requested. I would be grateful if you could use it as a template for your response. Please provide a separate response and completed template per ICB covered by your team.</p> <p>Please provide the requested information for the financial years ending March 2019, March 2020, March 2023, March 2024. If the number of periods requested is a barrier to providing the information, please provide data for years ending March 2023 and March 2024 (i.e. the last 2 years).</p> <p>Please provide the following for each of these periods:</p>	
<p><u>Mid-year repayments</u></p> <p>1. Contracts eligible for mid-year repayments</p> <ul style="list-style-type: none"> The number of contracts which are potentially eligible for mid-year repayments to be made (i.e. contracts where <30% of annual contracted UDAs have been delivered by 30 September, and therefore for which an action plan is requested) 	<p>1. No repayments (clawback) is applied during the mid-year process, regardless of the contract position. If a provider has delivered less than the 30% threshold, then one of the following is usually implemented, subject to the provider's financial position.</p>

- The total annual value (£) of these contracts which are potentially eligible for mid-year repayments
- The total value (£) of the amount that is potentially eligible to be reclaimed through mid-year repayments (i.e. the estimated value of the UDAs that would not be delivered based on activity levels)

2. Mid-year repayments enforced

- The number of contracts which are eligible for mid-year repayments and for which the action plan has been rejected by the ICB, and therefore early repayments are enforced (i.e. withholding contract payments during the latter part of the financial year)
- The total annual value (£) of the contracts eligible for mid-year repayments for which no action plan has been accepted and for which early-repayments are enforced
- The total value (£) of the amount that could be eligible to be reclaimed through mid-year repayments, for the contracts for which the action plan has been rejected
- The total value (£) of the mid-year repayments that have been enforced / collected for contracts for which the action plan has been rejected (nb. if there is no difference between the value in 2c and 2d, please still include both)

- A payment withhold is placed on the contract for the remainder of the financial year.
- The contract is non-recurrently rebased for the remainder of that financial year.

2. As above.

End of year contract re-basing

3. Contract rebasing

- The number of contracts which have been re-based (i.e. the number of UDAs changed) after the 96% UDA delivery target has not been reached for a period of 3 consecutive years
- The number of contracts which have been re-based unilaterally (i.e. enforced by the ICB, rather than through mutual agreement with the provider)

3. No contracts were rebased during the 2023/24 financial year. Any data relating to contracts pre delegation, April 2023, will need to be provided by NHS England as we no longer have access to these drives - <https://www.england.nhs.uk/contact-us/foi/>

The information provided in this response is accurate as of 25 September 2024 and has been approved for release by David Jarrett, Chief Delivery Officer for NHS Bristol, North Somerset and South Gloucestershire ICB.

		Y/E March 2019	Y/E March 2022	Y/E March 2023	Y/E March 2024
1a	The number of contracts which are eligible for mid-year repayments to be made (i.e. contracts where <30% of annual contracted UDAs have been delivered by 30 September)	none	none	none	none
1b	The total annual value (£) of these contracts which are eligible for mid-year repayments	N/A	N/A	N/A	N/A
1c	The total value (£) of the amount that is eligible to be reclaimed through mid-year repayments (i.e. the estimated value of the UDAs that would not be delivered based on activity levels)	none	none	none	none
2a	The number of contracts which are eligible for mid-year repayments and for which the action plan has been rejected by the ICB, and therefore early repayments are enforced	none	none	none	none
2b	The total annual value (£) of the contracts eligible for mid-year repayments for which no action plan has been accepted and for which early-repayments are	N/A	N/A	N/A	N/A
2c	The total value (£) of the amount that could be eligible to be reclaimed through mid-year repayments, for the contracts for which the action plan has been rejected	N/A	N/A	N/A	N/A
2d	The total value (£) of the mid-year repayments that have been enforced for contracts for which the action plan has been rejected (nb. if there is no difference between the value in 2c and 2d, please still include)	N/A	N/A	N/A	N/A
3a	The number of contracts which have been re-based (i.e. the number of UDAs changed) after the 96% UDA delivery target has not been reached for a period of 3 consecutive years	None	None	None	None
3b	The number of contracts which have been re-based unilaterally (i.e. enforced by the ICB, rather than through mutual agreement with the provider)	none	none	none	none